Profiling Lake Roxburgh Using the Nz Quasigeoid 2005

Maurice William PERWICK, New Zealand

KEY WORDS

GNSS/GPS, Hydrography, Positioning, Geoid.

ADDITIONAL KEYWORDS

Baseline Adjustment, Inclined Plane, CORS, Hydrographic Profiling, NZGeoid05, RTK, Fast Static.

SUMMARY

Profiling Lake Roxburgh - NZGeoid05

Lake Roxburgh is situated in the southern lakes area of the South Island of New Zealand. Roxburgh Dam was built in the 1950's and the resulting siltation has been monitored since that time by surveying 85 cross section profiles established at nominal 450m intervals along the length of the lake. The Lake and Gorge on the Clutha River is 31km in length and varies in width from 50m to 400m and has a fall of some 2.5m during normal flows. The rugged nature of the canyon or gorge limits the propagation of telemetry for receiving real time correction of the GPS receivers and the available satellites in view.

The weather, by way of wind, temperatures around 0°C, rain and snow, required the survey team of five persons in two jet boats to be suitably clothed and in support of each other in the very isolated project area.

This paper will show how heights were obtained from GPS observations of Normal-Orthometric control marks to calculate a site calibration for the hydrographic project. The site calibration, when integrated into the Trimble HydroPro Hydrographic Navigation software project, provides real time water levels for the narrow beam echo sounding of the lake profiles. The use of the 'New Zealand Quasigeoid 2005' (NZGeoid05), to compensate and aid the limited amount of vertical control available in the area, has been essential to upgrade the existing 1993 site calibration to a vertical accuracy of ± 0.05 m.

GPS Baseline data was sourced from real time kinematic (RTK) and Fast Static observations in the field and from the CORS on the LINZ PositioNZ website. The baselines were augmented with previous RTK measurements from 2007 and adjusted.

Trimble Geomatics Office (TGO) software was used in the integration of the NZGeoid05 model to correct the ellipsoidal heights to Normal-Orthometric heights. The application of an inclined plane model further reduces any anomalies to produce a site-specific transformation with minimal residuals. Trimble Navedit, HydroDos and 12d software suites were used to produce the plotted sheets of profiles and Excel spreadsheets.

The paper will by way of methodology reports, plans, diagrams and photos show how this complex profiling survey was planned, executed and processed.

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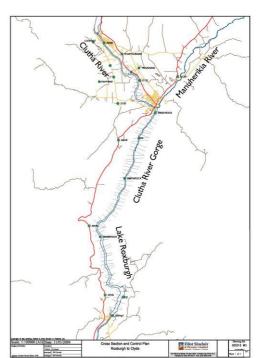
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1. INTRODUCTION

Lake Roxburgh is situated on the Clutha River, New Zealand's biggest river, in the South Island of New Zealand, and is used primarily for hydroelectric power generation.

The river and gorge is prone to flooding during intense periods of rainfall which can cause damage to the town of Alexandra.





Lake Roxburgh, Central Otago, South Island, New Zealand

Discharge spilling water at dusk, Roxburgh

It was proposed to flush the river and transport sediment through the gorge to the lake proper during these flooding periods by managing the lake levels. This would, over time, deepen the river, increase the hydraulic efficiency and reduce any flooding effects.

Our company first tendered to monitor the effectiveness of the river flushing and sediment redistribution in 1993 and continue this work to date.

1.1 Existing Survey Profiling Methods

Following construction of the Roxburgh Dam in the 1950's, a geodetic survey was carried out up the river to locate cross-section reference marks from which to measure the profile of the river/lake using a traditional tag-line system for offset, and Current Meter and Columbus Weight for measuring depth from a Hamilton jet boat for depth. The profiling work took months to survey and the data was sparse.

1.2 Proposed Survey Methods - 1993

It was proposed to use DGPS positioning and a Narrow Beam Echo Sounder to profile the lake and river. Water levels would be determined by spirit level from the existing end points. However, the earlier surveys had been carried out using an outmoded 'National Yard' coordinate system which contained scale and rotation issues.

The client proposed that we re-coordinate and re-height the control onto a Transverse Mercator Projection using 'A North Circuit' in Geodetic Datum 1949 and Alexandra Height Datum.

The new survey would allow the end point coordinates to be transformed to WGS-84 as this would fit with our proposal to use DGPS positioning.

In the field, we used Trimble RTK1 (L1), a precursor of RTK OTF GPS, to survey the majority of the end points when good sky and constellation configuration were available and favourable.

Fast Static observations were also carried out to further strengthen the control network. The lake, river gorge and river is 30km in length and very narrow. Control is generally less than 3km to the side, which makes the network weak in



Azimuth and height, but adequate for the task in hand.

Initialisation Bar in operation

The GPS Baseline data was processed using Trimble GPSurvey, a precursor of Trimble TGO and Trimble TBC. The other end points (not GPS observable) were connected to the control by traditional EDM traversing using Geodimeter and Sokkisha Total Stations.

It should be remembered that a limited constellation of NavStar satellites were in operation and selective availability was active.

1.3 Initial Planning of Survey Control

The vectors between control marks on the old 'National Yard' plans were processed using SDRMAP and transformed to fit the new Geodetic Datum 1949 coordinates. This allowed us to navigate to the marks which, although witnessed with a concrete post, many of these had suffered from frost heave, and collapsed and were often not immediately visible through the scrub and foliage. Further, the marks which were galvanised bolts in a concrete block, were often buried and often took some extensive digging to expose the mark. Without a more accurate coordinate, these could well have been impossible to find.



Profile End Point - Concrete Post destroyed by frost



Profiling with tape & staff

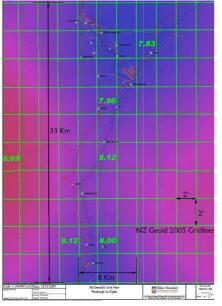
Around the Alexandra area of the Clutha River, the shoreline is heavily vegetated with dense willows and it was essential to be able to access the river bank and measure up from the boat to the mark (often 100m back). These river margins often flood and the thick silt deposits require more digging to expose the mark, often a metre down.

Two methods of surveying under dense canopy were employed. The first was to use Fast Static observations with the antennae on a tripod close to the ground which proved to be quite effective as it reduced the proximity effect of the leaf canopy above. The second was by EDM traverse into the mark from control points established using RTK or Fast Static methods out in the open areas alongside the river.

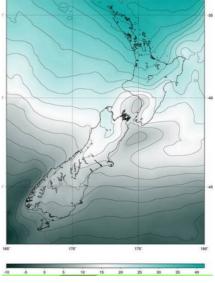
2. 2009 SURVEY

2.1 Philosophy

The use of Geoid Correction Models has not been widespread in New Zealand to date as the models tended to be coarsely configured and lacked precision over a country with distinct gravitational variation.



The Quasi Orthometric Geoid correction model NZGeoid05 was generated at 2' arc grid spacing. Trimble New Zealand Ltd customised the model for New Zealand and Trimble users.



Geiod Separation Values - LINZ

The New Zealand Institute of Surveyors Land Surveyor's conference in Napier 2008 saw a number of presentations showing the benefits and limitations of integrating the Geoid Correction Model into a site calibration to best fit GPS or GNSS observations onto a projection and height datum.

'N' Values - Survey Area

2.2 Historic Use of Inclined Plane Site Calibration

In the early 1990's RTK GPS was single frequency and limited in range, primarily because telemetry was the weakest link but also due to the constraints of the current ionospheric modelling and surveys tended to be quite small, e.g. 3km x 3km, as it was too difficult to get better range from the radios. However, by 1994 the advent of dual frequency Trimble RTK GPS OTF receivers meant that initialisations could be established while moving and led to us carrying out coastal profiling surveys using RTK GPS for measuring tide in areas remote from a Tide Gauge.

We had also found licensed frequency telemetry receivers with high speed modems (9600 Baud) with effective ranges of 10-15km with good repeatability as all the background infrastructure of GPS had progressed to support and make use of the dual frequency system.

The use of inclined plane site calibrations became necessary as in New Zealand the separation between GPS ellipsoidal heights and Orthometric Heights was in the order of 3-6cm/km from the Base.

Sites could be extended to 20km x 20km with residuals of 0.05-0.08m which proved adequate for many survey tasks. However, the original survey of Lake Roxburgh in 1993/1994, through the location of the control along the adjacent State Highway 8, meant that it could only be treated as a single cell.

In subsequent years, as new sections were added and extended north to the Clyde Dam, two site calibrations were used. The original site calibrations south of the Alexandra Bridge and a second calibration which was developed to the north with additional new height control down the west side of the Clutha River.

This latter site calibration was supported by a new GPS Reference Station high in the hills above the Clyde Dam, known as 'Lookout'. The location of this Base Station solved a number of issues, but especially improved the radio telemetry reception down on the river amongst the willow trees.





Land and hydro survey in progress

'Lookout' GPS Reference Point

The use of high gain collinear UHF aerials further enhanced the reception. This meant that the water level could at last be reliably determined by RTK GPS on the boat as well as from the shore, although care had to be taken when bringing the vessel on Dead Reckoning into the bank under the willow canopy not to damage the aerial.

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FIG Congress Facing the Challenges - Building the Capacity Sydney, Australia, 11-16 April 2010 The 2009 survey undertook to define the site calibration as a single cell which used a combined incline plane and Geoid Model solution. The survey sought to replicate the 1993/1994 survey using similar methodology, but to incorporate the survey work while, essentially, carrying out the biannual sedimentation hydrographic survey. Further, the Base Station sites would be increased by one around Section 37D to provide a better connection through the lower part of the River Gorge. Plus, Fast Static data would be available from the LINZ PositioNZ CORS Station 'LEXA'.

Reference Stations & Telemetry

The survey did not expect to visit every end point mark, but to measure sufficient to get an idea of what

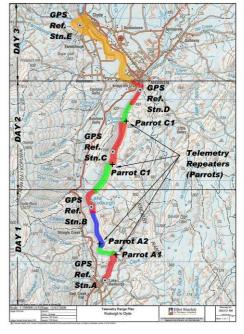
changes had occurred in the gorge through fault movement and from incorporating the NZ Geiod 05 correction model. Previous RTK measurements from 2007 would be added into the solution.

The idea was to create a transformation to real time model the water levels from the jet boat while profiling, hence minimising the land survey content of the job. Shoaling areas still require land survey profiling methods. It is necessary to carry out position and height checks on existing marks for each new Base Station used.

The land survey is utilised in two ways, in that it provides a real connection to the existing end points and, hence, shoreline water level, and it also can be used to QA the hydro navigation system.

2.3 River Levels in HydroPro Navigation System

The Trimble HydroPro system allows RTK GNSS positions (WGS-84) to be transferred to normal Orthometric heights through the use of standard transformations which may be modified by inclined plane and Geoid Correction Models. Further, the system can also adopt a previously processed 'site calibration' by Trimble TGO or TBC software. Hence, both the HydroPro Navigation System and the land survey TSC2 system have the same operating parameters.





It is usual to 'start the Base' using the TSC2 and then field check the boat's positioning and water level using the land survey system with the boat pulled alongside the rocky shore or beach. It is also possible to 'topo' a small patch of the river bed and then sound across it to later compare the full reduction of the hydrographic data.

Doctors Point Reference Station

It is possible to QA the navigation system on shore by establishing a reference point near the boat ramp and parking the Transducer and GPS over that mark. By additionally setting up a runline and graphics, it can easily prove that the navigation parameters are correct and that the correct coordinates and water level are being generated.

Note, the vessel is over the mark and the tide value is similar to the on-screen values.



Navigation check

3. FAST STATIC CONTROL PROCEDURE

3.1 Introduction



The isolation of the site required establishing two receivers on known Geodetic marks each day on the way to launching the two boats. Two RTK GPS Base Stations would be established on new and old reference marks and moved as required to support the hydrographic survey and to measure additional baselines by logging Fast Static observations simultaneously as logistics allowed. It was important to know which end points had been surveyed in 2007 to ensure that these were connected to new or different reference points

to strengthen the final adjustment of the dataset. Further, it is essential when adopting previously observed datasets, that the origin of those vectors is connected to directly, either by Fast Static or RTK observations, otherwise the network is disjointed and prohibits adjustment.

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FIG Congress Facing the Challenges - Building the Capacity Sydney, Australia, 11-16 April 2010 The second receiver was established over a selected control mark and left for the day until retrieved in the dark. The mid latitudes suffer short daylight hours during winter (May to October). Note, all receiver sets have spare battery and antennae cables plus two independent 12 volt power supplies are connected to the Trimble 4000 SSE. This receiver has the facility to swap between power sources should one go below a threshold voltage without power shutdown and is able to continuously log data through that changeover.



The primary RTK Base Station system would be set up adjacent to where the hydrographic survey commenced by the land survey crew and checked against known marks using the Rover 4700 Trimble & TSC2. The hydrographic crew would, during this time, get the hydrographic vessel mobilised and start the Echo Sounder calibration. The land survey crew would then establish the second RTK Base Station on a selected mark and begin Fast Static logging. In some instances, this receiver was configured 'ready to transmit CMR' where it could be used by the

land and hydro crews instead of extending the telemetry. When time permitted, this second receiver would be retrieved and shifted to another previously used reference station position as discussed above. Generally, the four Fast Static receivers would log for at least an hour or sufficient to process a baseline if time was of the essence.

Note, all travel is by jet boat and all gear had to be carried, lifted and passed up some very steep cliffs.

All batteries are sealed lead acid batteries to reduce spill and acid damage to hands and clothes.

3.2 Equipment

- Four Trimble 4000 SSE with L1/L2 antennae.
- One Trimble 4700 with L1/L2 antennae.
- One Trimble MS750 with L1/L2 antennae.
- One Trimble TSC2.
- One Trimble TSCE.
- Toshiba Laptop with Trimble TGO software and Trimble HydroPro.
- Two Hamilton jet boats.
- Three utility vehicles.
- Five personnel helmsmen and surveyors.

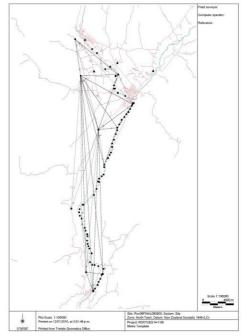


3.3 Field Procedure

One Fast Static receiver was established each day at the First Order Bench Mark AEHK adjacent Butchers Dam. 5km south-west of Alexandra. This receiver logged data at 15 second epochs all day until retrieved each night for downloading and recharging of batteries as the area is subject to hoar frosts. This receiver's data formed the hub of all Fast Static lines during the field operations.

3.4 End of Day Activities

The use of two boats is desirable in an isolated river environment. No voice radio communication is possible to outside agencies, nor is there cellphone coverage down in the gorge. A site specific Safety



and Environmental Plan was prepared and agreed with Contact Energy prior to commencing which included a log in/out system and precautions to prevent the spread of didymo and lagarosiphon. The first priority at the end of the day is to ensure that work is programmed to have the boats ready for retrieval at 4.30pm and to notify the lake authority that all men and boats have returned without incident. The fast approach of dusk and darkness does not allow time for rescue efforts if lack of 'sign out' is noted after this time. We have had motor failure in the gorge, and searching for men and boats late into the night in shoaling waters is not for the faint hearted or wise.

Upon the vessels being retrieved, the Fast Static receivers are either retrieved from the side of the highway or restarted by the passing crew and another baseline measured. The remaining crew hold back, until its time to retrieve all the receivers back to the motel. The boat crew refuel the boats with approximately 150 litres of fuel per boat each day. Batteries are retrieved and charged overnight. The hydro crew back-up the navigation computer to USB memory sticks. The field crew arrive, charge batteries and download the Fast Static receivers and Trimble data controllers. Baselines are processed and residuals observed.

The next days logistics are planned and then the crews shower, eat and sleep.

3.5 Final Night

After the last field day, all the data is combined to ensure that sufficient baselines and RTK vectors have been observed and all checks to known marks are acceptable. The data is, again, independently archived, field notes, files archived and batteries charged. The boat crews are held on standby should anything amiss is found during the final field check in the motel.

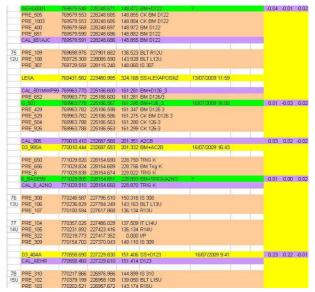
If all is well, they are advised that the project is closed and the next lake up the road is ready to go. However, if, as sometimes happens, a line is missed or a check measurement is required, then a short mobilisation can be carried out. Generally, if the planning of the job has been extensive and the execution gone well, then the field work is checked off as satisfactory.

Of course, it should be noted that real time measurements are checked in the field for compliance and action taken if required. We use waterproof field books and checking procedures to maximise the effectiveness of the field procedures, all maps and field information sheets are laminated as this allows us to annotate them using felt tip or spirit based pens and check the resulted in the field.

The TSC2 data recorded also allowed 'inverse checks' and to view water levels in real time.

4. **POST PROCESSING**

Further to the initial field processing and checks carried out each night, we carry out further analysis and combine other datasets to build a full model. As mentioned previously, data can be obtained from the LINZ (Land Information New Zealand) CORS Receivers through the PositioNZ system. This data is at 30 second epochs. The other datasets for the project were imported from the 2007 survey. Trimble TGO allows datasets to be merged by renaming points to a common name and then recomputing to see the residuals.



Control marks - residuals

We were able to seed the project with Fixed Coordinates for two stations at either end of the job to best control scale and rotation and let all observations fit about these points. We further ran a filter to eliminate compromised or observations with excessive residuals. There was

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sufficient redundant data for this to be valid. The topography and vegetation can have subtle but significant effects on the quality of observations.

TGO reports were generated for further analysis in Excel. The North, East and Height residuals are displayed. The blue lines highlight the water levels and times of observation for generating a Tidal Curve from the river to reduce the hydrographic depth data.

We logged the uncorrected tidal data in the boat navigation system and checked that against the land survey crew's roving observations to check consistency in the field. However, we did not use a

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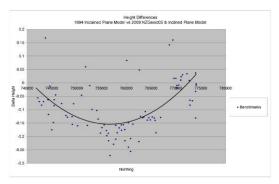
FIG Congress Facing the Challenges - Building the Capacity Sydney, Australia, 11-16 April 2010 site calibration in this survey as we were combining the field work and planned to apply the Adjusted Tide Level after we have completed the 2009 site calibration.

4.1 Residual Analysis

Data analysis allowed us to produce a polynomial regression curve of the difference in height between the 2009 and 1993 surveys. This graph showed a good concentration of data around the -0.15m value with some around -0.25m. The source of the error would be made up in part by 1993 data suffering the following:

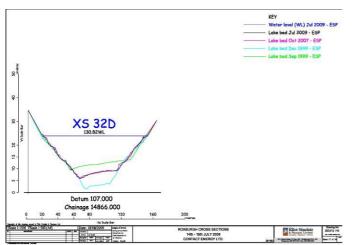
- Less than optimal vertical control available.
- The control is more remote in the Gorge area.
- The Geoid slopes vary in this harsh terrain.
- Lack of a full constellation of satellites.
- Tectonic movement around the fault zones.

The data, with residuals of ± 0.05 m in height, is generally associated with control data and the control available on the East and West sides of the river north of Alexandra.



4.2 Cross-sections

Selected surveys are used to compile a sheet of profiles to show the stability of the river bed and the effect of any flushing.



The adjacent profile sheet shows very marked river bed reduction in levels for the period September 1999 to December 1999.

However, the river appears to have stabilised in this location for the period 2007 to 2009.

The cross section data was supplied as an Xcel spreadsheet for others to calculate the sediment volume changes.

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FIG Congress Facing the Challenges - Building the Capacity Sydney, Australia, 11-16 April 2010 13/15

5. CONCLUSIONS

- The integration of a Geoid correction model into GPS or GNSS surveys enhances the quality of the reduced data.- The combined survey techniques of Real Time Kinematic and Fast Static (Trimble) observations provides a further strength in the field observations.
- Post-processing with TGO, or the like, provides a platform to reduce, combine, adjust, analyse, QA and report survey data.
- The site calibration generated by applying the Geoid 'N' values to the ellipsoidal heights and then applying an 'inclined plane' transformation to further reduce the residuals is very effective.
- The combined site calibration of Geoid Model & Inclined Plane extends the operational survey area and minimises residuals of the field observation.
- The adoption of the site calibration from TGO to Trimble HydroPro Nav is professional and reduces the amount of field checking required considerably. Water levels can be observed in real time in the field.
- Independent field checks using RTK GPS methods by a land surveyor provides the assurance that the navigation system is correctly processing the observations, both on the water and in post-processing reductions.
- Preparation and planning is paramount in any survey task, but more so in a project of this size, location and isolation, and complexity.
- The skill level of those participating in the field work must be extensive, flexible and committed.
- We recommend that the methodology be used in land and hydrographic surveys of this type.

REFERENCES & ACKNOWLEDGEMENTS

- Land Information New Zealand website <u>www.linz.govt.nz</u>
- Trimble Support (New Zealand)
- New Zealand Institute of Surveyors Annual Conferences and Survey Quarterly publications.
- Australasian Hydrographic Society Seminars and Conferences.

- Hydro International publications.
 - We acknowledge Contact Energy Ltd for their assistance and comments and allowing this paper be presented at FIG 2010

BIOGRAPHICAL NOTES

Maurice Perwick is a Director of Eliot Sinclair & Partners Ltd, a Christchurch survey, engineering and planning consultancy of some 60+ persons.

He is an accredited Hydrographic Surveyor and a Registered Professional Surveyor.

He is currently the Treasurer of the New Zealand Region of the Australasian Hydrographic Society and is a past Chairman of the region and Convenor of HYDRO 2003.

He specialises in large topographical surveys for irrigation design and surveys of rivers, lakes, ports and coastlines. His work also includes customising navigation systems for dredging operations.

CONTACTS

Mr Maurice PerwickEliot Sinclair & Partners LtdLevel 5151 Kilmore StreetPO Box 4597Christchurch 8140New ZealandTel+64 3 379 4014Fax+64 3 365 2449Emailmaurice.perwick@eliotsinclair.co.nzWebsitewww.eliotsinclair.co.nz